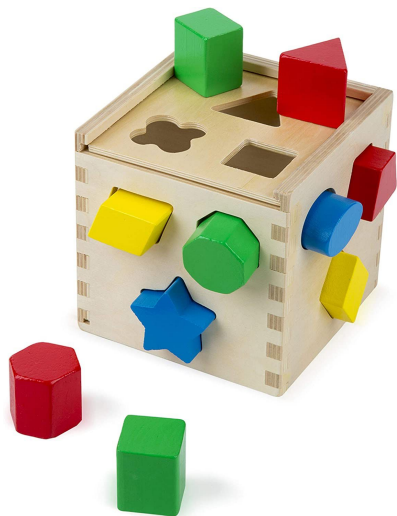


# Can you hear the shape of a jet?

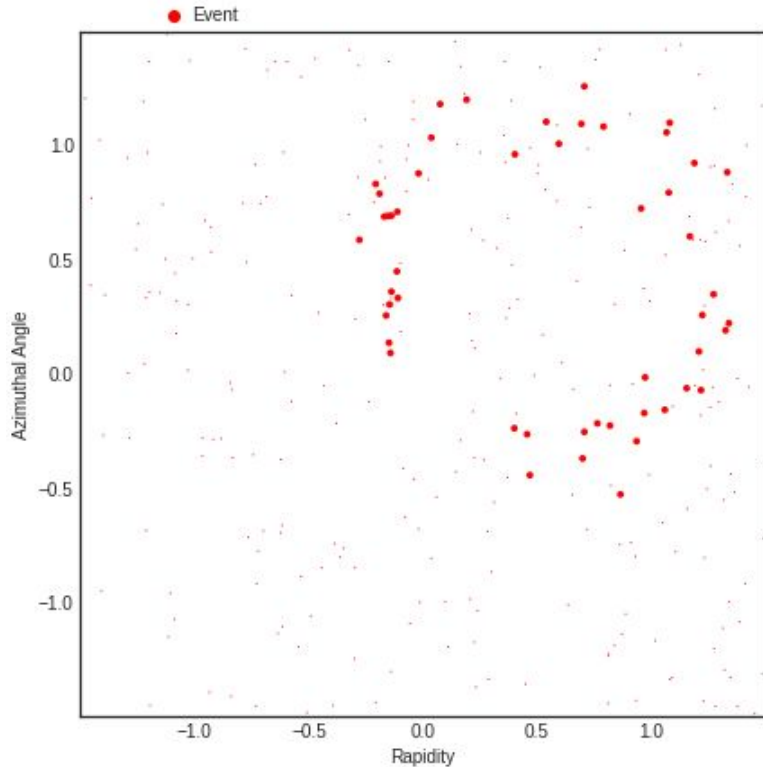


Rikab Gambhir

With Akshunna S. Dogra (FI  ) ,  
Demba Ba (FI ) ,  
& Jesse Thaler (FI )



# Fundamental Question: What shape is this?

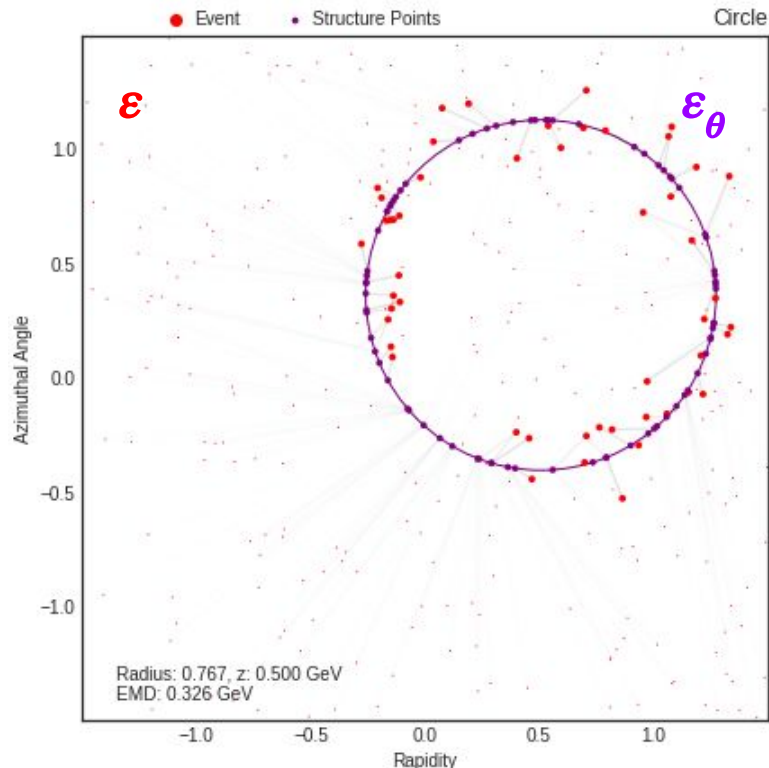


Pictured: (Fake) event that you might have measured at the LHC

Red dots are detector hits on a patch of the LHC cylinder, weighted by energy

**Goal:** Construct an observable  $\mathcal{O}$  that generically answers this question!

# Fundamental Question: What shape is this?

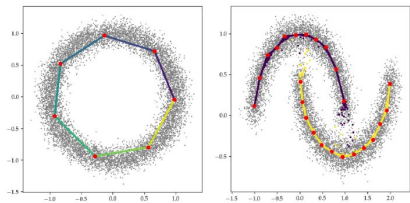


Using the **SHAPER** framework ...

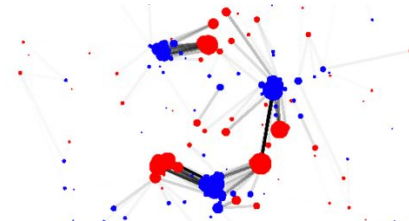
$$\mathcal{O}_{\mathcal{M}}(\mathcal{E}) = \min_{\mathcal{E}'_{\theta} \in \mathcal{M}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$
$$\theta = \underset{\mathcal{E}'_{\theta} \in \mathcal{M}}{\text{argmin}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$

**Circle** with radius 0.767, center (0.50, 0.36) and a “circle-ness” value of 0.32.

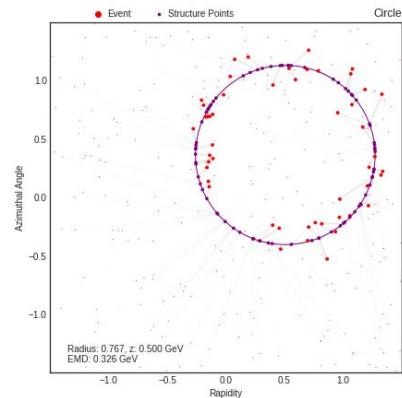
Yes, you **CAN** hear the shape of a jet!



Piecewise-Linear Manifold  
Approximation with K-Deep Simplices  
(KDS, [2012.02134](#))



Well-Defined Metric on Particle Collisions  
using Energy Mover's Distance (EMD,  
[2004.04159](#))



## SHAPER: Learning the Shape of Collider Events

$$\mathcal{O}_{\mathcal{M}}(\mathcal{E}) = \min_{\mathcal{E}'_{\theta} \in \mathcal{M}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$

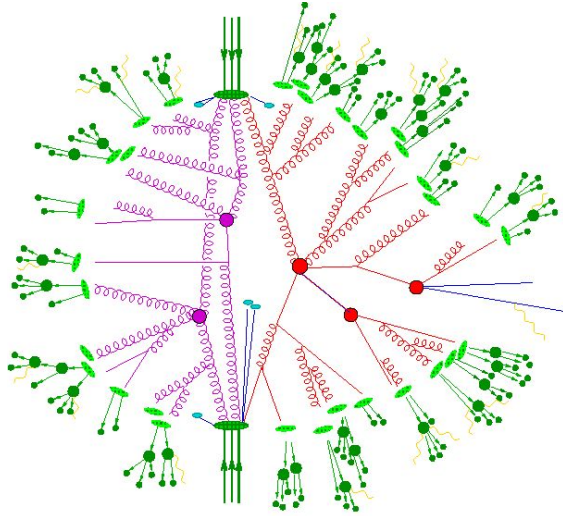
$$\theta = \operatorname{argmin}_{\mathcal{E}'_{\theta} \in \mathcal{M}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$

Framework for defining  
and calculating useful  
observables for collider  
physics!

# Energy Flows

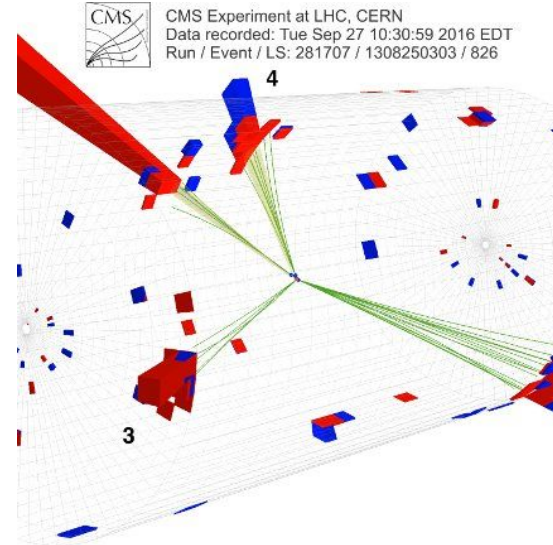
# Robust Observables

[Enrico Bothmann et. al., 1905.09127;  
CMS, 1810.10069]



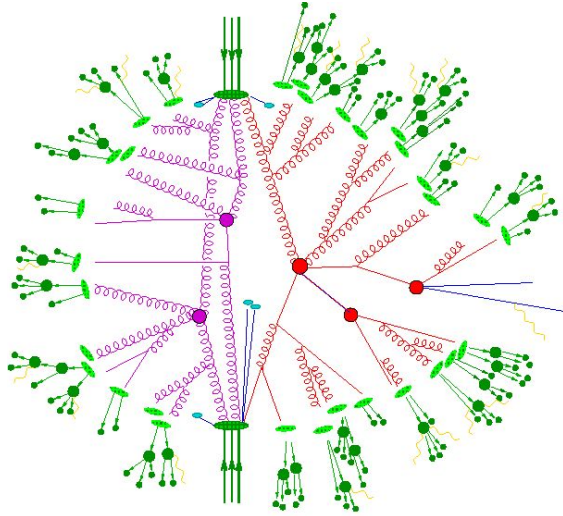
Theory

Experiment



# Robust Observables

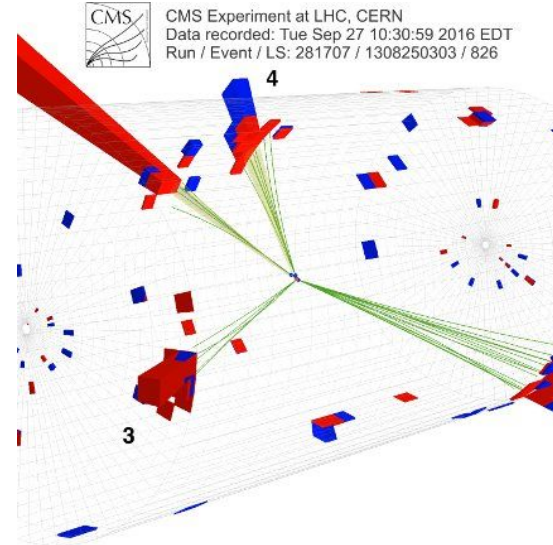
[Enrico Bothmann et. al., 1905.09127;  
CMS, 1810.10069]



Theory

Perturbativity?  
Hadronization?  
Parton Shower Model?

Experiment

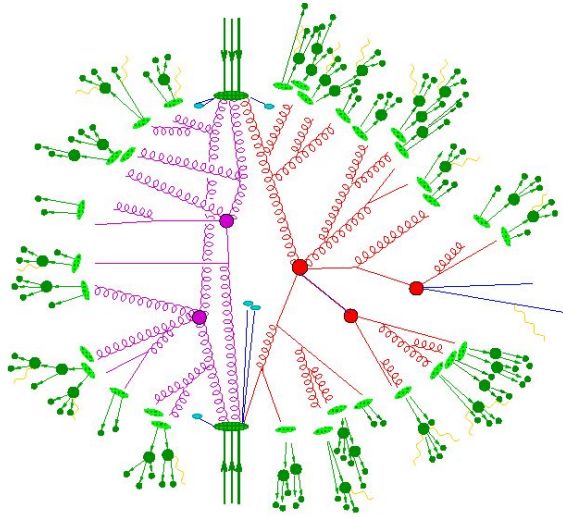


Finite Calorimeter Resolution Effects?  
Different Resolution between Detectors?



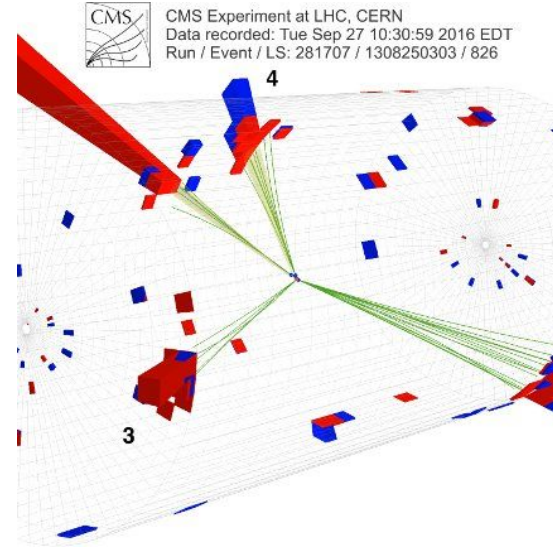
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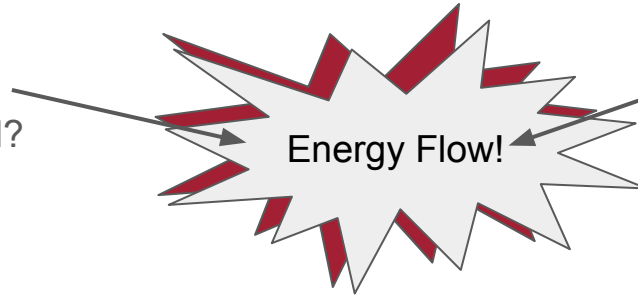


Theory

Experiment



Perturbativity?  
Hadronization?  
Parton Shower Model?



Finite Calorimeter Resolution Effects?  
Different Resolution between Detectors?



# The Energy Flow

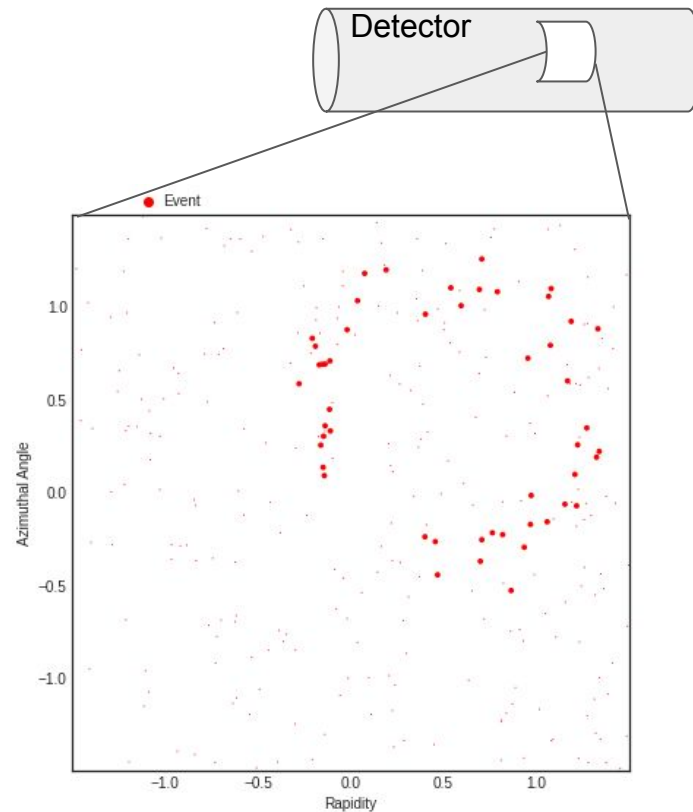
The Infrared and Collinear safe information about a state is contained within its **Energy Flow**:

$$\mathcal{E}(\vec{y}) = \sum_i z_i \delta(\vec{y} - \vec{y}_i)$$

Detector Coordinate  $(\eta, \phi)$

Energy Fraction  $E_j / E_{Tot}$

Can be either **real** or **idealized**.

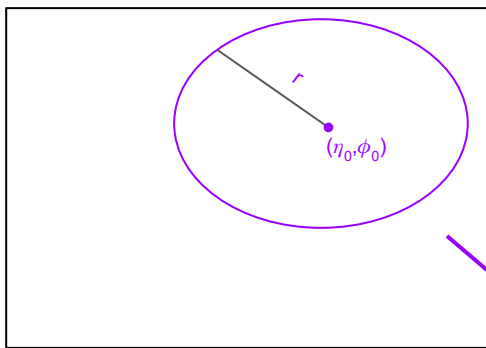


This plot *is* the energy flow for an event

# Shapes and the Wasserstein Metric

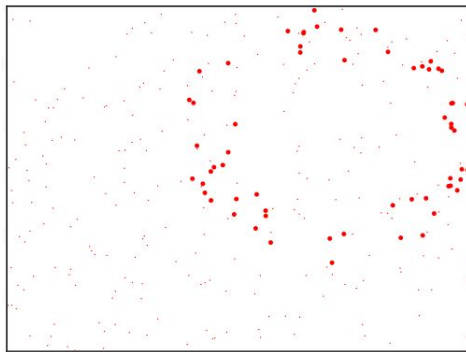
# Shapes and the Energy Flows

Translate our question about shapes into energy flows!



Idealized Energy Flow

$\mathbb{R}$



Real Energy Flow

What parameterized energy flow,  $\epsilon_\theta$ , best matches the observed energy flow  $\epsilon$ ?

# Shape Observables

Minimize over the manifold of parameterized energy flows to determine shape!

$$\mathcal{O}_{\mathcal{M}}(\mathcal{E}) = \min_{\mathcal{E}'_{\theta} \in \mathcal{M}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$

$$\theta = \underset{\mathcal{E}'_{\theta} \in \mathcal{M}}{\text{argmin}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$

Learns the “shapiness”  $\mathcal{O}$  and the optimal shape parameters  $\theta$

Observables  $\Leftrightarrow$  Manifold of Parameterized Flows correspondence!

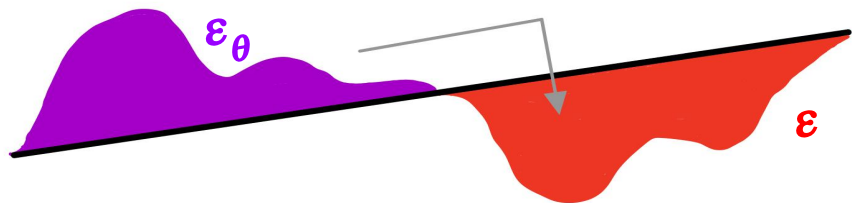
# The Wasserstein Metric

There is a natural metric on probability distributions, the **Wasserstein Metric**

$$\text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta}) = \sum_{i,j} f_{ij} \frac{|\vec{y}_i - \vec{y}'_j|}{R} + |E_i - E'_j|$$

$$\text{where } \sum_j f_{ij} = E_i, \sum_i f_{ij} = E'_j, \sum_{i,j} f_{ij} = \min(E_i, E'_j)$$

Also known as the Earth/Energy  
Mover's Distance (EMD) -  
geometric structure on events!



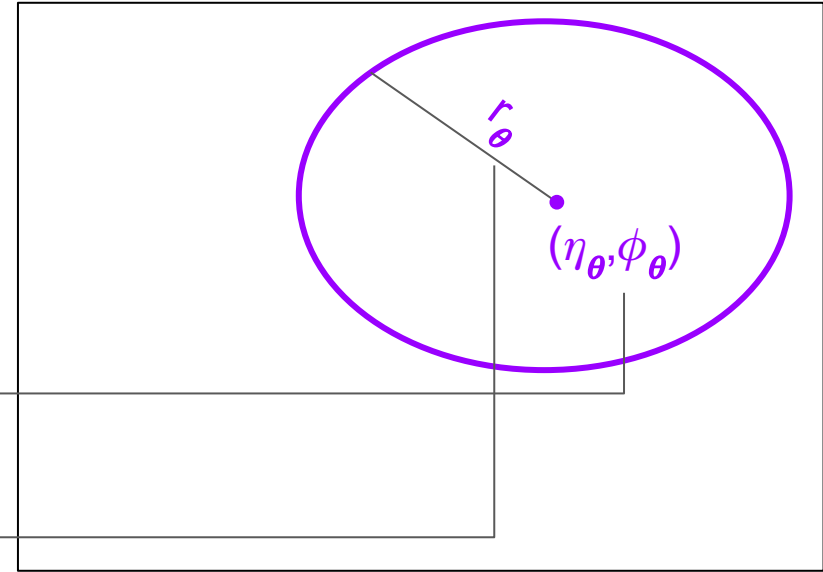
EMD = Work done to move “dirt” optimally

# Defining Shapes

Define a *shape* as any parameterized energy flow

For example, for a circle with parameterized radius and center\*

$$\mathcal{E}(\vec{y}) = \begin{cases} \frac{1}{2\pi r_\theta} & |\vec{y} - \vec{y}_\theta| = r_\theta \\ 0 & |\vec{y} - \vec{y}_\theta| \neq r_\theta \end{cases}$$



Our parameterized circle written as an energy flow

\*Uniform prior by choice for simplicity. In principle, we can pick any parameterized normalized distribution.

# Observable $\Leftrightarrow$ Manifolds

Many existing observables have this form!

- $N$ -subjettiness  $\Leftrightarrow$  Manifold of  $N$ -point events
- $N$ -jettiness  $\Leftrightarrow$  Manifold of  $N$ -point events with floating energy
- Thrust  $\Leftrightarrow$  Manifold of back-to-back point events
- Event Isotropy  $\Leftrightarrow$  Uniform distribution
- ... and more!

All of the form “How much like [shape] does my **event** look like?”

We generalize this to build more observables!



# The SHAPER Framework

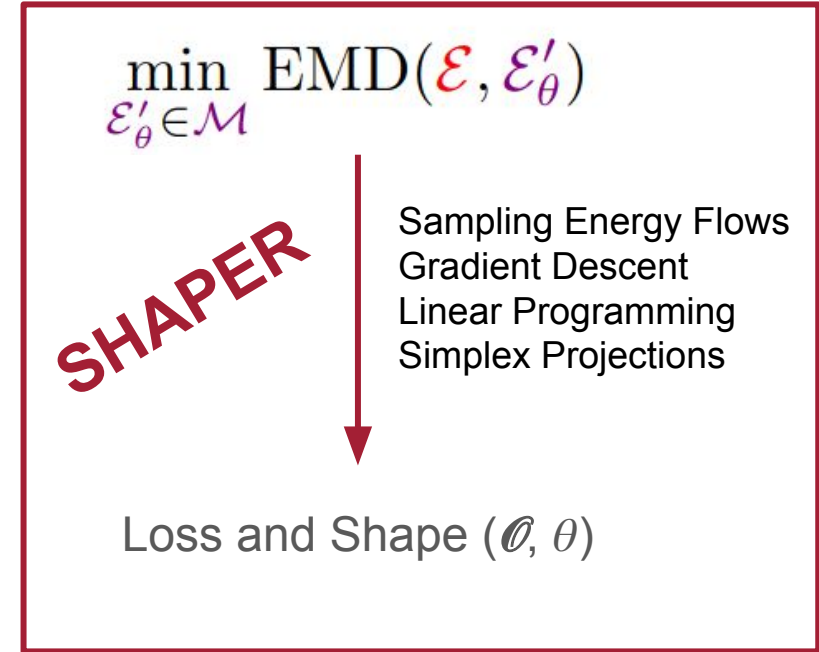
# SHAPER

**S**hape-**H**unting **A**lgorithm using **P**arameterized  
**E**nergy **R**econstruction

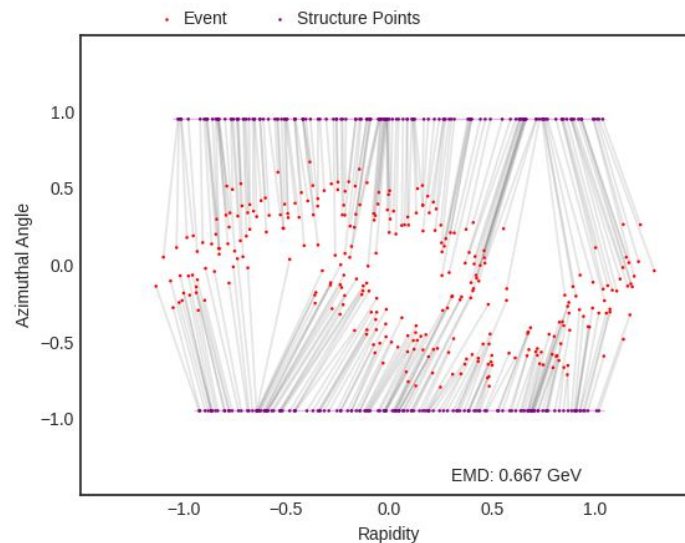
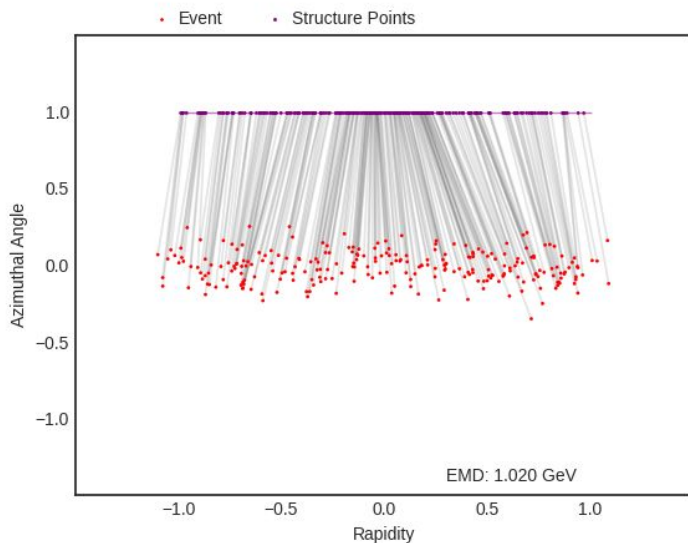
Framework for defining and building IRC-safe  
observables using parameterized objects

Easy to define new observables by specifying  
parameterization, or by combining shapes

Returns EMD distance and optimal shape  
parameters



# Fun Animations

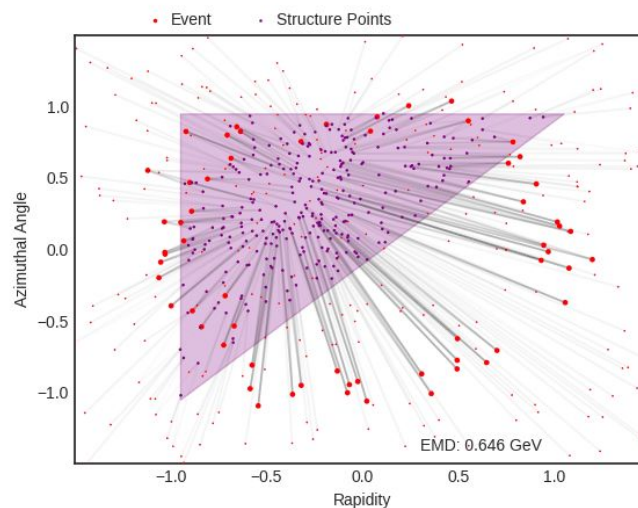
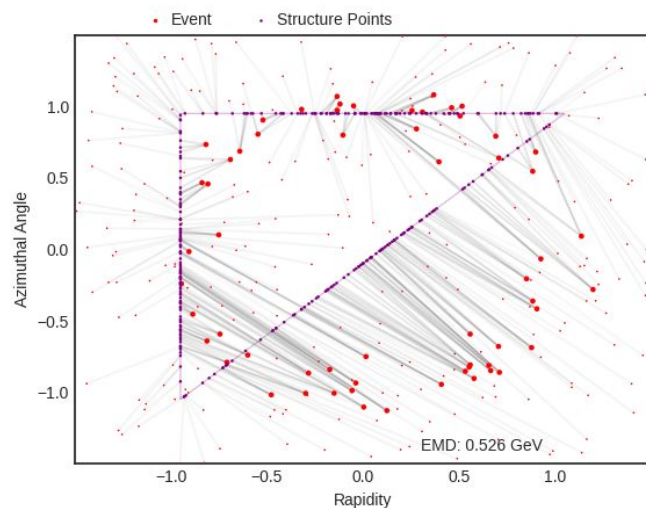


**Red:** Event  $Y$

**Purple:** Shape  $\varepsilon_\theta$  with structure points  $a_i$

**Grey:** Matrix  $x_{ij}$  connecting  $y$ 's and  $a_i$ 's

# Fun Animations Cont'd



**Red:** Event  $Y$

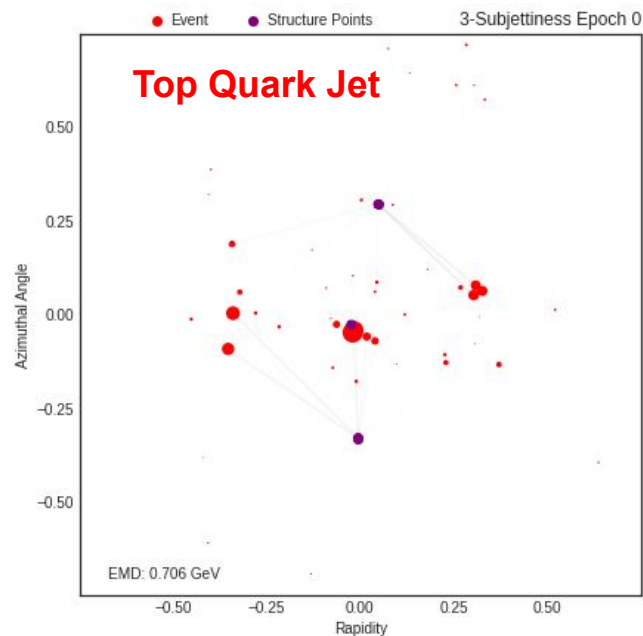
**Purple:** Shape  $\varepsilon_\theta$  with structure points  $a_i$

**Grey:** Matrix  $f_{ij}$  connecting  $y$ 's and  $a_i$ 's

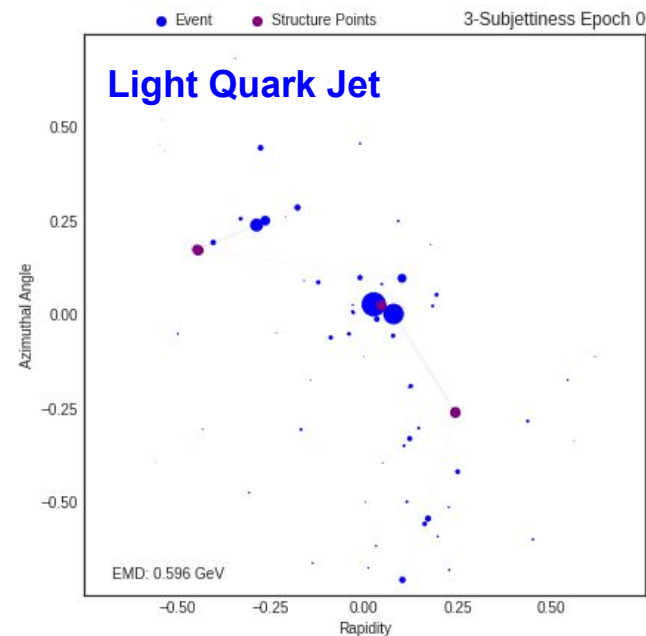
$$\begin{aligned} \text{Left: } \varepsilon_\theta &= \left[ \triangle \right], & \text{EMD} &= 0.245 \\ \text{Right: } \varepsilon_\theta &= \left[ \triangle \right], & \text{EMD} &= 0.279 \end{aligned}$$

# N-Subjettiness

Easy to compute classic  
jet observables!



$$\tau_3 = 0.214$$



$$\tau_3 = 0.343$$

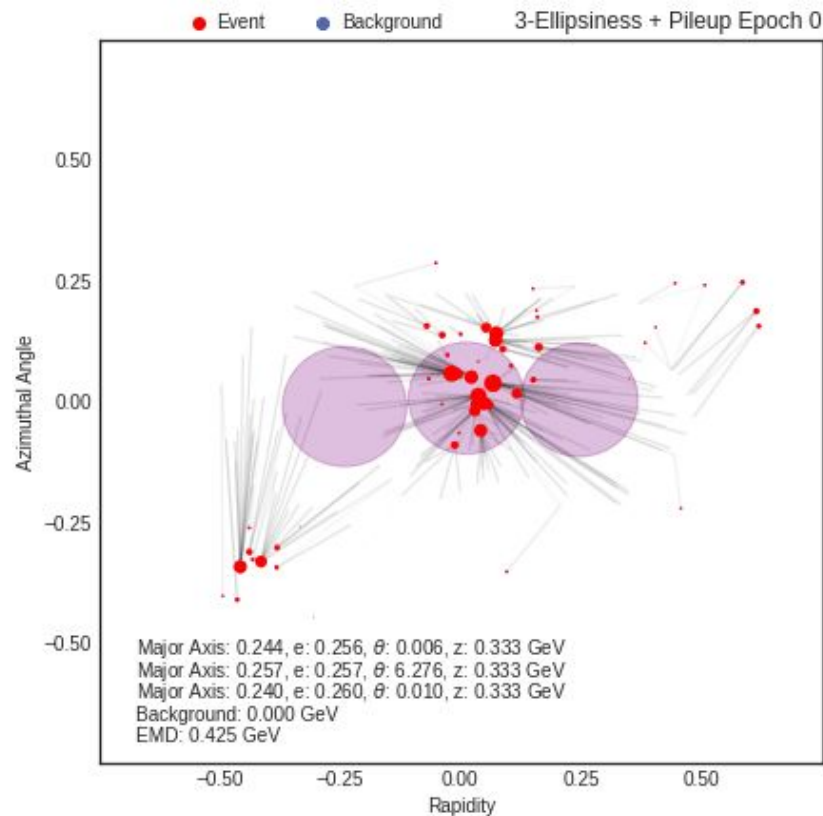
# New IRC-Safe Observables

The **SHAPER** framework makes it easy to invent new jet observables!

**N-Ellipsiness+Pileup** as a jet algorithm.

- Learn jet centers
- Dynamic jet radii (no  $R$  hyperparameter)
- Dynamic eccentricities and angles
- Dynamic jet energies
- Uniform Pileup Subtraction
- Learned parameters for discrimination

Can design custom specialized jet algorithms to learn jet substructure!



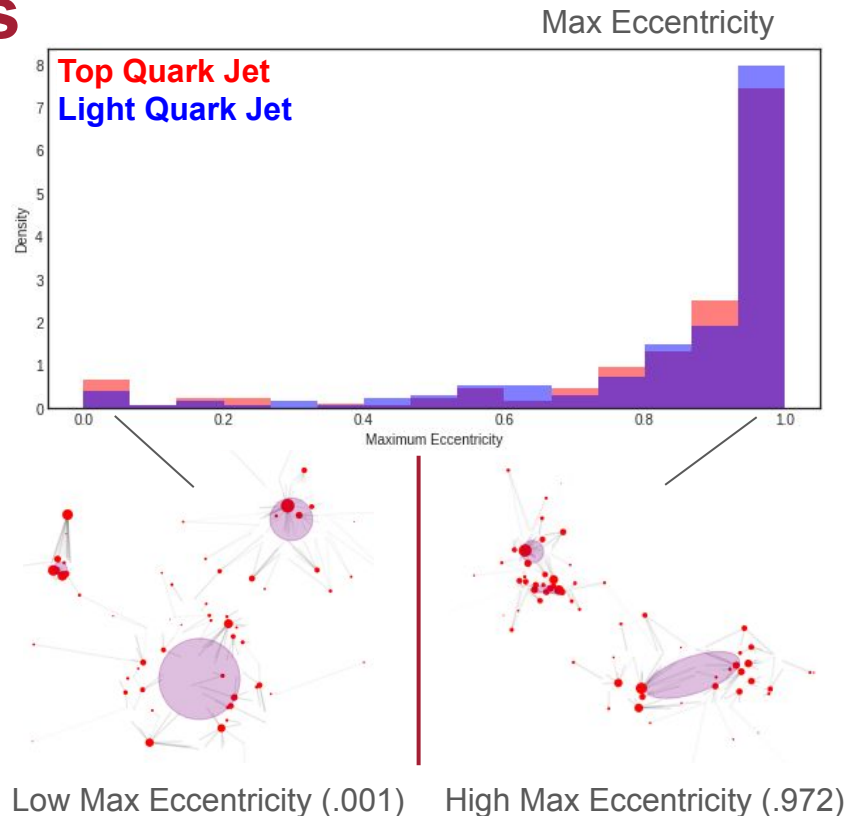
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# Outlook

**SHAPER**, a machine learning framework for calculating robust observables for collider physics based on IRC-safety and Wasserstein geometry!

Playground for defining and building custom observables and jet algorithms!

$$\mathcal{O}_{\mathcal{M}}(\mathcal{E}) = \min_{\mathcal{E}'_{\theta} \in \mathcal{M}} \text{EMD}(\mathcal{E}, \mathcal{E}'_{\theta})$$
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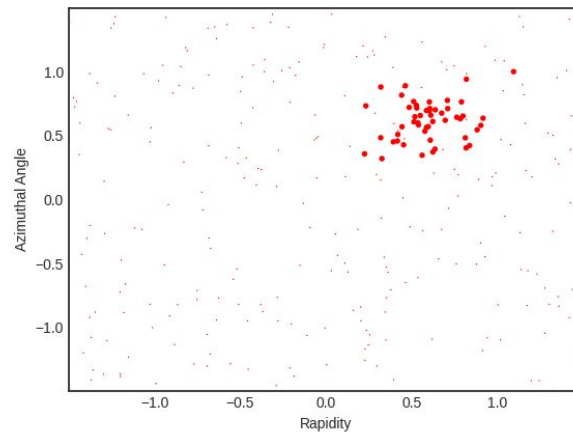
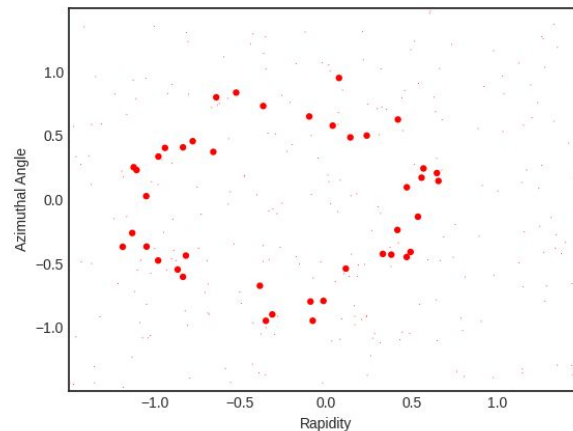
Using **SHAPER**, you **CAN** hear the shape of a jet!

# Appendices

# Toy Analysis

Define the following two types of events:

- **Type 1: Ring-Like**
  - Pois(50) “signal” particles, uniformly making up 70%-90% of event energy
  - Arranged in a ring with radius  $r \sim N(0.75, 0.25)$ , width = 0.1
  - Pois(250) “background” particles making up remaining energy
- **Type 2: Disc-Like**
  - Pois(50) “signal” particles, uniformly making up 70%-90% of event energy
  - Arranged in a disc with radius  $r \sim N(0.50, 0.25)$
  - Pois(250) “background” particles making up remaining energy



# Observables

In analogy with *N-jettiness*, define the *A-shapeliness* of an event as the value of the loss when evaluated on the shape  $A$ .

For this toy analysis, define:

- Shape  $A_1$ : Filled-in triangle, parameterized by its vertices
- Shape  $A_2$ : Boundary of a triangle, parameterized by its vertices ( $\partial A_1$ )

Both shapes have  $R = 0.25$

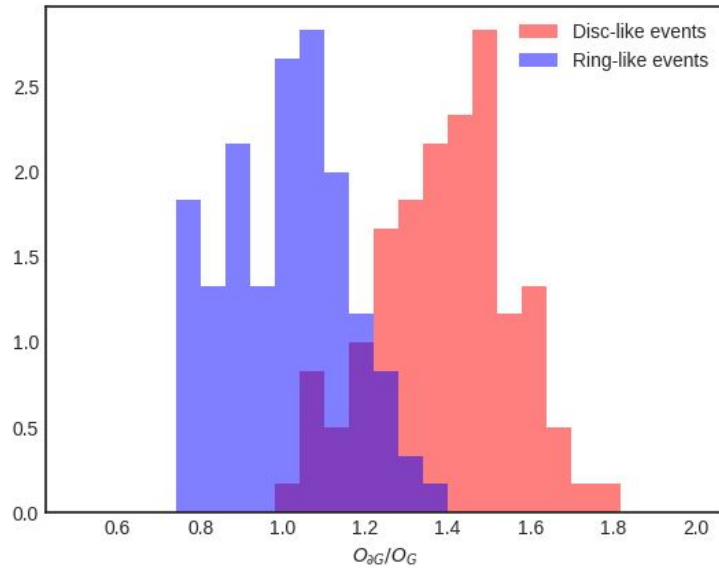
The ratio of the shapeliness values should be a proxy for if the event is ring-like or disc-like

# Technical Aspects

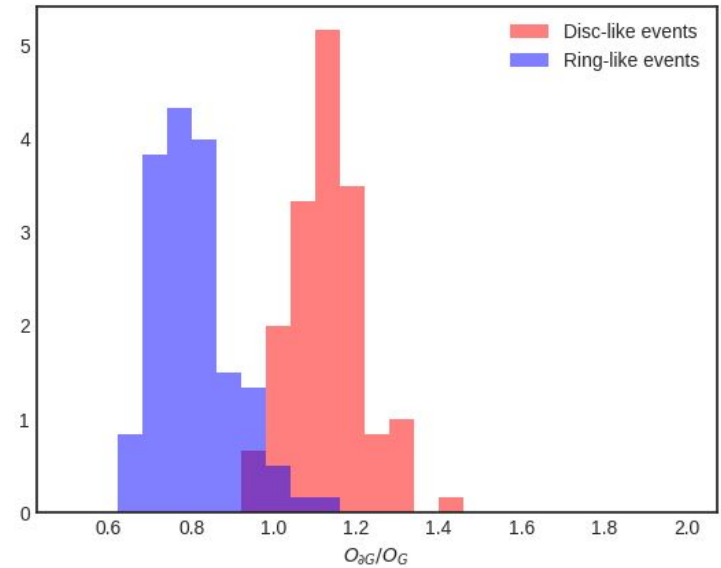
- 125 sample points defining shapes
- Adam optimizer,  $\text{lr} = 0.05$
- 125 epochs with  $z0$  frozen, then 125 epochs with  $z0$  unfrozen
  - Early stopping if loss has not improved after 10 epochs
- Triangles initialized at  $(-1, -1)$ ,  $(-1, 1)$ ,  $(1, 1)$

With these settings, each observable takes about 2-3 seconds per event.

# Results



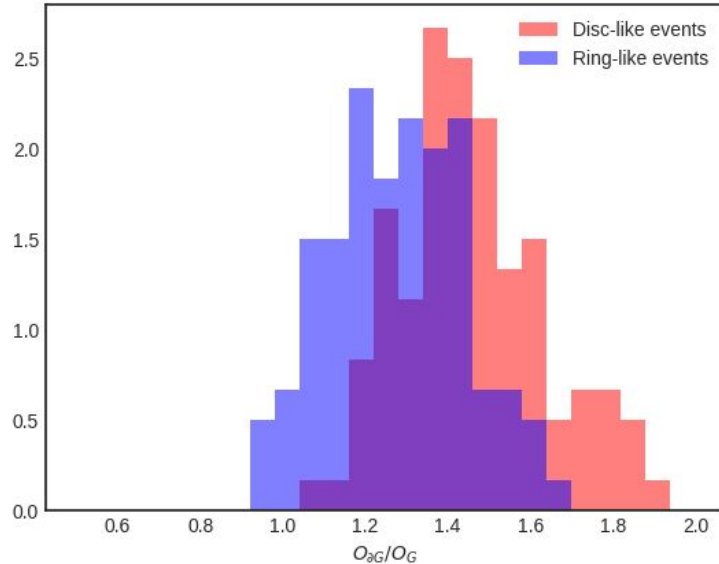
Without unclustered term



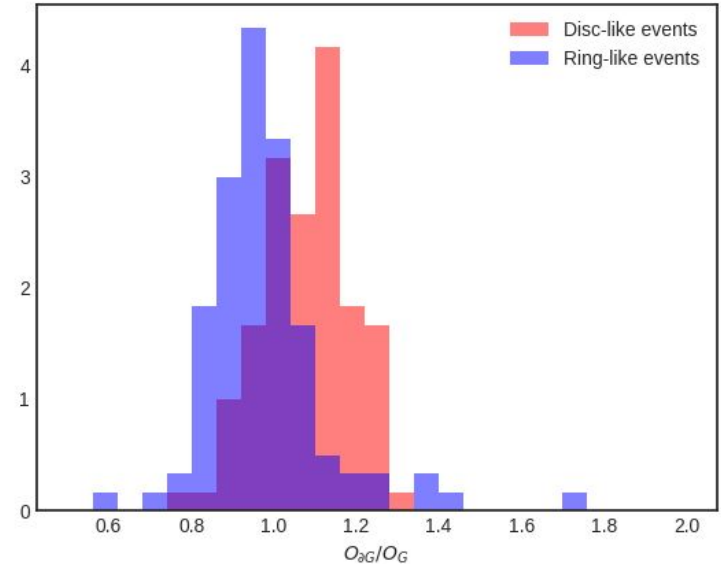
With unclustered term



## Results - High Background (40%-60%)



Without unclustered term



With unclustered term

# IRC Safety

**Infrared Safety:** An observable is unchanged under a soft emission



**Collinear Safety:** An observable is unchanged under a collinear splitting



# Implementation

To practically determine this minimum ...

1. Initialize  $A$  by random sampling the energy flow
2. Freeze  $A$ . Calculate the Wasserstein Metric loss  $L$ , and the corresponding transport matrix  $f_{iy}$
3. Freeze  $f$ . Calculate the gradients of  $L$  with respect to  $A$  [ignoring dependencies on  $f$ ]
4. Gradient update  $A$
5. Freeze  $f$  and  $A$ . Gradient update weights  $z$  by numerical derivative
6. Repeat 2-5 until convergence.